

3621

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/833,390
Filing Date	Apr. 11, 2001
First Named Inventor	Triola
Art Unit	3621
Examiner Name	Backer, R.
Attorney Docket Number	CRT044US

Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

- |                                                                              |                                                                                         |                                                                                            |
|------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                                     | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached                                        | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment/Reply                          | <input type="checkbox"/> Petition                                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                         | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information                                           |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter                                                     |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer                                            | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund                                             | Continued Declaration of C. Richard Triola Under 37 CFR 1.131                              |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> CD, Number of CD(s) _____                                      |                                                                                            |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | Remarks                                                                                 |                                                                                            |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |                                                                                         |                                                                                            |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |                                                                                         |                                                                                            |

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eugene H. Valet
Signature	<i>Eugene H. Valet</i>
Date	April 22, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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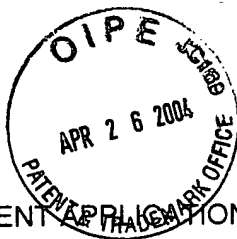
*Eugene H. Valet*

Date

Apr. 22, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION DOCKET NO. CRT044US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICATION UNDER GRANTED PETITION TO MAKE SPECIAL**

GROUP ART UNIT: 3621

EXAMINER: Backer, Firmin

INVENTOR(S): Triola, C.R.

SERIAL NO.: 09/833,390

CONF. NO.: 3769

FILED: April 11, 2001

SUBJECT: Method and Apparatus for Processing Escrow Transactions

**AMENDMENT and REPLY TO OFFICE ACTION**

TO: COMMISSIONER FOR PATENTS  
POB 1450  
Alexandria VA 22313

In accordance with the notice "REVISED AMENDMENT PRACTICE: 37 CFR 1.121 CHANGED, effective July 30, 2003, the MPEP and 37 CFR, following are:

- (A) INTRODUCTORY COMMENTS,
- (B) AMENDMENTS TO THE SPECIFICATION,
- (C) AMENDMENTS TO THE CLAIMS,
- (D) REMARKS, including DRAWING AMENDMENTS, if any.

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1     **(A)     INTRODUCTORY COMMENTS**

2  
3     This paper is filed in reply to the Office Action issued on 01/tba/2004 with respect to the above-  
4     referenced application. No new matter is added to the application by the amendments made  
5     hereinafter.

6  
7     Filed herewith is a Supplemental Declaration of C. Richard Triola under rule 131, where by the  
8     Declarations evidence conception prior to July1999 and due diligence in pursuing patentability.

9  
10  
11    Applicant hereby requests reconsideration based on the following amendments and remarks  
12    regarding objections and rejections enumerated in the Office Action.